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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/789,998	03/02/2004	Takafumi Terahara	1344.1134	3584
. 21171 STAAS & HA	7590 09/26/2007 LSEY LLP		EXAMINER	
SUITE 700			LŧÜ, LI	
WASHINGTO	ORK AVENUE, N.W. N, DC 20005		ART UNIT	PAPER NUMBER
•			2613	
			MAIL DATE	DELIVERY MODE
			09/26/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

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	Application No.	Applicant(s)			
	10/789,998	TERAHARA, TAKAFUMI			
Office Action Summary	Examiner	Art Unit			
	Li Liu	2613			
The MAILING DATE of this communication apperiod for Reply	pears on the cover sheet with the	correspondence address			
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D - Extensions of time may be available under the provisions of 37 CFR 1.4 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICATION 136(a). In no event, however, may a reply be time will apply and will expire SIX (6) MONTHS from the cause the application to become ABANDON	N. imely filed In the mailing date of this communication. ED (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on 22 A	August 2007.				
	s action is non-final.				
3) Since this application is in condition for allowa	nce this application is in condition for allowance except for formal matters, prosecution as to the merits is used in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.				
Disposition of Claims					
4) Claim(s) 1-5 and 8-15 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) 1-5 and 8-15 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement.					
Application Papers					
9) ☐ The specification is objected to by the Examine 10) ☑ The drawing(s) filed on 14 March 2007 is/are: Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) ☐ The oath or declaration is objected to by the E	a)⊠ accepted or b)⊡ objected e drawing(s) be held in abeyance. Se ction is required if the drawing(s) is o	ee 37 CFR 1.85(a). bjected to. See 37 CFR 1.121(d).			
Priority under 35 U.S.C. § 119					
12) △ Acknowledgment is made of a claim for foreign a) △ All b) ☐ Some * c) ☐ None of: 1. △ Certified copies of the priority document 2. ☐ Certified copies of the priority document 3. ☐ Copies of the certified copies of the priority application from the International Burea	ts have been received. ts have been received in Applica prity documents have been receiv	tion No			
* See the attached detailed Office action for a list	of the certified copies not receiv	ed.			
Attachment(s)					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	4) Interview Summar Paper No(s)/Mail [5] Notice of Informal 6) Other:	Date			

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

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DETAILED ACTION

Response to Arguments

1. Applicant's arguments filed on 08/22/2007 with respect to claims 1-5 and 8-15 have been fully considered but they are not persuasive. The examiner has thoroughly reviewed Applicant's amendment and arguments but firmly believes that the cited reference reasonably and properly meet the claimed limitation as rejected.

Applicant's argument – "FIG. 3 of Ahmadvand clearly illustrates that the alleged virtual N-1 branch ports output both even-numbered and odd-numbered wavelengths".

Examiner's response – As shown in Fig. 3 and the following Figure O1 (the simplified Figure 3 of Ahmadvand), Ahmadvand clearly shows that the MZI 21 outputs only the odd-numbered wavelengths and the MZI 22 outputs only the even-numbered wavelengths.

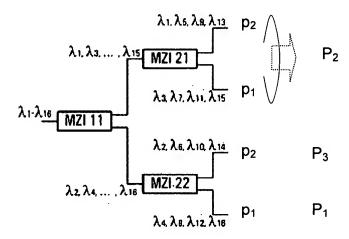


Figure O1

That is, Ahmadvand teach "said branch port connecting section groups two or more branch ports of said M branch ports, and virtually considers these as N-1 branch ports outputting one of even-numbered or odd-numbered wavelength groups.

As shown in Figure O1, the output ports p1 and p2 from MZI 21 of Ahmadvand can be grouped together and viewed as "one branch port" P2, and connected to other ports to "virtually" form N-1 branch ports.

Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 1-5 are rejected under 35 U.S.C. 103(a) as being unpatentable over McMahon (US 5,208,692) in view of Ahmadvand et al (US 6,754,411).
- 1). With regard to claim 1, McMahon discloses a multi-directional optical branching apparatus (the "transmultiplexer" 14 in Figure 2, column 4 line 26-44, and Figure 4), connected to N (N≥3) optical transmission paths (6 in Figure 4; four input/output ports are presented in Figure 4, N=4) each having a pair of optical paths corresponding to an up-link (12a in Figure 4) and a down-link (12 in Figure 4) which transmit wavelength division multiplexed signal lights in mutually different directions, for demultiplexing (22a in Figure 4) a wavelength division multiplexed signal light input from an input side optical path of each of optical transmission paths into N-1 wavelength

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groups (the three output groups: "1", "2" and "3"), and then multiplexing (22b in Figure 4) each demultiplexed group with optical signals of different wavelength groups from other directions to output the multiplexed signal light to each output side optical path of a predetermined optical transmission path, comprising:

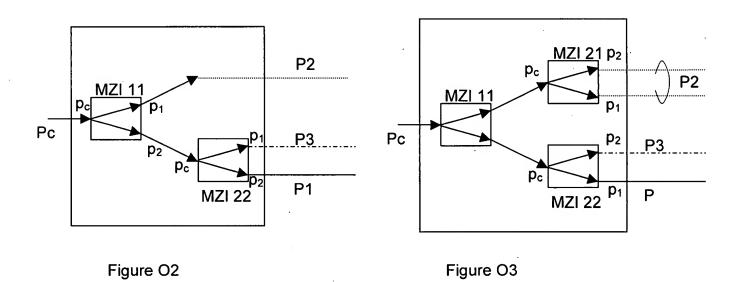
2xN optical multiplexing/demultiplexing devices (22 in Figure 4; 4 input/output ports, 8 multiplexer/demultiplexer) each including one common port (the input port of multiplexer 22a, and the output port of demultiplexer 22b) which is connected in one to one with any one of the input side optical paths (the input port of multiplexer 22a is connected to the downlink 12, one to one) or the output side optical paths paths (the output port of demultiplexer 22b is connected to the uplink 12a, one to one) of said N optical transmission paths, and N-1 branch ports (e.g., 3 output from the demultiplexer 22a), and being capable of demultiplexing a wavelength division multiplexed signal light input to the common port into the respective wavelength groups (column 8 line 28-64), to output from the corresponding branch ports (the ports 1,2 and 3 of demultiplexer 22a in Figure 4), and also multiplexing the optical signals (the multiplexer 22b multiplexes the signals), which belong to the respective wavelength groups (column 8 line 28-64), input to the branch ports (the branch ports 1,2 and 3 of demultiplexer receive the signal from other ports), to output from the common port (the output port of multiplexer 22b. which connected to the fiber 12a); and

a branch port connecting section (the fiber connections in Figure 4, or inside of the circle in Figure 3) that connects in one to one between the respective branch ports (the branch ports 1, 2 and 3 in Figure 4) of said 2xN optical multiplexing/demultiplexing

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devices, in accordance with previously set connection rules (column 4 line 26-57 and column 8 line 28-64).

But, McMahon does not expressly discloses wherein when N≥4, for said optical multiplexing/demultiplexing devices, one common port and N-1 branch ports are formed by cascade connecting a plurality of devices each having one common port and two branch ports; and when the physical branch ports formed by cascade connecting the optical multiplexing/demultiplexing is M (M>N-1), said branch port connecting section groups two or more branch ports of said M branch ports, and virtually considers these as N-1 branch ports outputting one of even-numbered or odd-numbered wavelength groups, and connects between each of the branch ports.



However, the cascading of two or more multiplexers/demultiplexers is a common practice in the art, as disclosed by Ahmadvand et al (Figure 3). And wherein when N≥4,

for the optical multiplexing/demultiplexing devices, one common port (Pc in Figures O2 and O3 above) and N-1 branch ports (three branch ports P1, P2 and P3) are formed by cascade connecting a plurality of devices each having one common port (pc in Figure O1) and two branch ports (p1 and p2 in Figure O2). Ahmadvand et al's devices relaxes the requirement of having very sharp frequency response in the first demultiplexer of the tree structure.

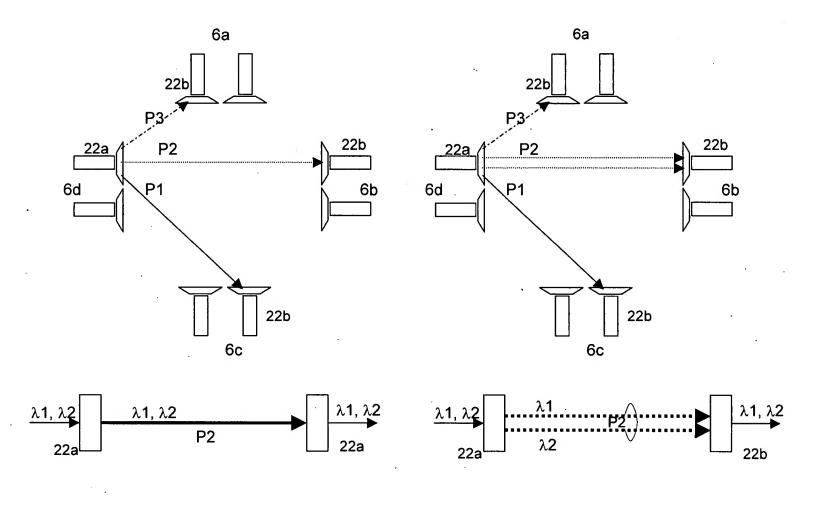


Figure O4

Figure O5

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For M=4 (M>N-1), as disclosed by Ahmadvand, the multiplexing/demultiplexing devices are formed by cascade connecting a plurality of devices each having one common port and two branch ports (see Figure O3 above; and in Figure 3 of Ahmadvand et al, MZI 21 has two outputs and MZI 22 has two outputs, so total 4 (M = 4) physical branch ports are formed by MZI 21 and MZI 22.). Then, the output ports p1 and p2 from the MZI 21 can be grouped together and viewed as "one branch port" P2, and connected to other ports, so to form a "virtually" N-1 branch ports outputting one of even-numbered or odd-numbered wavelength groups (Ref. Figure O1).

In McMahon's system, four multiplexing/demultiplexing devices N = 4 (6a – 6d in Figures 3 and 4), so the M > N-1. Therefore, when a similar cascading device is used in the system of McMahon, the two outputs from MZI 21 can be grouped together and connected to another two ports of other ports of other multiplexing/demultiplexing device (e.g., the 22b in 6b in Figure O5 above).

As shown in Figure O5, when physical branch ports is four, and there are only three multi/demultiplexing devices 22b to receive the signals from 22a, the fourth port can be connected to any one of the multi/demultiplexing devices 22b since each of the devices 22b also has four branch ports.

The applicant states that the port p_1 and p_2 of 21c in Figure 12 is **virtually** considered as one port. However, these ports p_1 and p_2 are **physically** connected to two ports of another branch section, e.g., two ports of 21_{1A} are connected to two ports of 21_{3B} in Figure 11; that is, they are physically connected, not **virtually** connected.

In telecommunication, the "virtual" is something that pretends to be something it isn't, but can be made to appear to be that thing. In Figure O4, the P2 can be also considered to **virtually** have two links because two wavelength channels are presented in the one fiber link.

And more, as shown in Figures O5, to use two fiber links to transmit two wavelengths channels individually do not define a patentably distinct over that in Figure O4 which uses one fiber to transmit two wavelength channels since both configuration are directed to transmit two wavelength channels from 22a to 22b; the function and result of the two structures are exactly the same.

When more mux/demuxers are cascading to form a multiplexing/demultiplexing device having 5 or more branch ports, three or more fiber links can be connected from one multiplexing/demultiplexing device to another multiplexing/demultiplexing device.

The suggestion/motivation for doing so would have been to further transmit more signal band or wavelength. Claim 1 is not patentable different from McMahon and Ahmadvand, because it is to duplicate an element and its functionality or "to duplicate a part for a multiple effect" (see St. Regis Paper Company v. Bemis Company, Inc., 193 USPQ 8 (CA 7 1977) "It is difficult to conceive of a more obvious method of strengthening a certain type of bag than putting one bag inside of another.").

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to apply the cascading of multiplexing/demultiplexing devices as taught by Ahmadvand et al to the system of McMahon so that more freedom of allocating the channels can be obtained and the requirement of having very sharp

frequency response in the demultiplexer/multiplexer can be relaxed; and then, two or more ports in 22a of 6d can be connected to two or more ports of 21b in 6b so to further transmit more signal band or wavelength.

2). With regard to claim 2, McMahon and Ahmadvand et al discloses all of the subject matter as applied to claim 1 above, and McMahon further discloses wherein said branch port connecting section connects in one to one between the respective branch ports of said 2xN optical multiplexing/demultiplexing devices, to satisfy simultaneously;

a first connection rule in that branch ports (the port 1, 2 and 3 in Figure 4) of an optical multiplexing/demultiplexing device where a common port (the port connected to 12 in Figure 4) thereof is connected with an input side optical path of one optical transmission path among said N optical transmission paths, are connected in one to one with branch ports of an optical multiplexing/demultiplexing device (e.g., the branch ports 1, 2 and 3 in group 6 are respectively connected to group 6b, 6c and 6c) where a common port thereof is connected with an output side optical path of another optical transmission path (the input port of multiplexer 22a or the output port of 22b is connected to the downlink 12 or uplink 12a, one to one);

a second connection rule in that branch ports corresponding to the same wavelength group are connected with each other (Figure 4, same wavelength group are used to connect the branch ports, "1" to "1", "2" to "2" and "3" to "3", column 8 line 28-64); and

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a third connection rule in that, for all of combinations where any two are selected from among said N optical transmission paths, between respective branch ports are connected so that the up-link path and the down-link path are respectively linked (Figure 4, the branch ports of **multiplexer 22a**, which in turn is connected to uplink 12a, are connected to the branch ports of the **delmutiplexer 22b** which is in turn connected to downlink 12, so the the up-link path and the down-link path are respectively linked).

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- 3). With regard to claim 3, McMahon and Ahmadvand et al discloses all of the subject matter as applied to claim 1 above, and McMahon further discloses wherein said N-1 wavelength groups are set so that the number of wavelengths of the optical signals in the wavelength group allocated to the up-link, and the number of wavelengths of the optical signals in the wavelength group allocated to the down-link, become the same (four input/output ports are presented in Figure 4; 3 wavelengths are used for the connection, same number of wavelengths are used for uplink and downlink, column 8 line 28-64).
- 4). With regard to claims 4 and 5, McMahon and Ahmadvand et al discloses all of the subject matter as applied to claim 1 above. But McMahon does not expressly discloses that (A) the optical multiplexing/demultiplexing devices use WDM couplers which perform multiplexing/demultiplexing of optical signals, with an adjacent plurality of optical signals of wavelengths, contained in each transmission region, of light passing characteristics corresponding to respective branch ports, as a single wavelength group; (B) wherein said optical multiplexing/demultiplexing devices use optical **interleavers** each having light passing characteristics which are periodically varied in a comb teeth

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shape corresponding to the respective branch ports, which alternately multiplex/demultiplex optical signals arranged at equal wavelength intervals.

By using the coupler, the demultiplexed channels can be grouped in " λ_1 , λ_2 , λ_3 " and " λ_4 , λ_5 , λ_6 "; and if using a interleaver, the demultiplexed channels can be grouped in " λ_1 , λ_3 , λ_5 " and " λ_2 , λ_4 , λ_6 ". Although McMahon does not specifically disclose the coupler or interleaver such limitation are merely a matter of design choice and would have been obvious in the system of McMahon. McMahon teaches that the multiplexing/demultiplexing devices separate or combine the wavelength groups. The limitations in claims 4 and 5 do not define a patentably distinct invention over that in McMahon since both the invention as a whole and McMahon are directed to multiplexing or demultiplexing signals. Since the coupler and interleaver have been widely used in the art for separating and combining wavelengths, the system of McMahon is fully capable to be made to do so. Therefore, to use coupler or interleaver or other kind of multiplexer/demultiplexer would have been a matter of obvious design choice to one of ordinary skill in the art.

- 3. Claims 8-15 are rejected under 35 U.S.C. 103(a) as being unpatentable over McMahon (US 5;208,692) and Ahmadvand et al (US 6,754,411) as applied to claim 1 above, and in further view of Park et al (US 2003/0058497).
- 1). With regard to claims 8 and 9, McMahon and Ahmadvand et al disclose all of the subject matter as applied to claim 1 above. But McMahon does not disclose the multi-directional optical branching apparatus, further comprising: (A) 2xN power adjusting devices provided on the respective optical paths connecting between the

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respective branch ports of said optical multiplexing/demultiplexing devices, which adjust the power of optical signals being propagated within said optical path; (B) optical spectrum monitor sections that respectively monitor the optical spectrums of the wavelength division multiplexed signal lights output from common ports of said optical multiplexing/demultiplexing devices to the output side optical paths of said optical transmission paths; and (C) control sections that respectively control said power adjustment devices in accordance with the monitor result of said optical spectrum monitor sections, so that the average power of the optical signals belonging to said respective wavelength groups are approximately the same; and (D) wherein said power adjusting devices are variable optical attenuators (claim 9).

However, Park et al, in the same field of endeavor, discloses an all-optical cross-connection (PXC). Park et al discloses: (A) and (D) power adjusting devices (the variable optical attenuator VOA 34 in Figures 2-5) provided on the respective optical paths connecting between the respective branch ports of the optical multiplexing/demultiplexing devices, which adjust the power of optical signals being propagated within said optical path ([0020] and [0040]); (B) optical spectrum monitor sections (the optical spectrum analyzer OSA 30 in Figures 2-5) that respectively monitor the optical spectrums of the wavelength division multiplexed signal lights output from common ports of said optical multiplexing/demultiplexing devices to the output side optical paths of said optical transmission paths ([0036], [0037] and [0040]); and (C) control sections (Controller 35 in Figures 2-5) that respectively control said power adjustment devices in accordance with the monitor result of said optical spectrum

monitor sections, so that the average power of the optical signals belonging to said respective wavelength groups are approximately the same ([0020], [0037] and [0040]).

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Park et al's controlling system provide optimum signal powers, signal to noise ratios, signal quality (Q), and reduces network operational cost, improves network reach and significantly enhances network agility (ABSTRACT and [0016]). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to apply the power controlling system as taught by Park et al to the system of McMahon and Ahmadvand et al so that a high signal quality, low cost connection system can be obtained.

2). With regard to claims 10, McMahon and Ahmadvand and Park et al disclose all of the subject matter as applied to claims 1 and 8 above. But McMahon does not disclose wherein said power adjusting devices are optical amplifiers.

It is well known in the art that the amplifier can be used to adjust the power of the signal, similar to the pre-amplifier 12 or amplifier 16 in Figures 2-4 of Park et al's system, the gain settings of these amplifiers are adjusted by the OSA. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the amplifier as taught by Park et al to the system of McMahon and Ahmadvand et al so that a high signal quality, low cost connection system can be obtained.

3). With regard to claims 11 and 12, McMahon and Ahmadvand et al and Park et al disclose all of the subject matter as applied to claims 1 and 8 above. But McMahon does not disclose (A) wherein said optical amplifiers are provided between the input

side optical paths of said optical transmission paths, and the common ports of said optical multiplexing/demultiplexing devices connected with said input side optical paths; and (B) wherein said optical amplifiers are provided between the output side optical paths of said optical transmission paths, and the common ports of said optical multiplexing/demultiplexing devices connected with said output side optical paths (claim 12).

However, Park et al, in the same field of endeavor, discloses that (A) optical amplifiers (16 in Figures 2-5) are provided between the input side optical paths (the fiber 11a in Figure 2-5) of said optical transmission paths, and the common ports (the input port of demultiplexer 18) of said optical multiplexing/demultiplexing devices connected with said input side optical paths (amplifier 16 is between the input fiber 11a and demultiplexer 18); and (B) optical amplifiers (28 in Figures 2-5) are provided between the output side optical paths (the fiber 11a' in Figure 2-5) of said optical transmission paths, and the common ports (the output port of multiplexer 26 in Figures 2-5) of said optical multiplexing/demultiplexing devices connected with said input side optical paths (amplifier 28 is between the multiplexer 26 and output fiber 11a').

Park et al's controlling system provide optimum signal powers, signal to noise ratios, signal quality (Q), and reduces network operational cost, improves network reach and significantly enhances network agility (ABSTRACT and [0016]). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to apply the amplifiers and the power controlling system as taught by Park et al to the

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system of McMahon and Ahmadvand et al so that a high signal quality, low cost connection system can be obtained.

4). With regard to claim 13, McMahon and Ahmadvand et al and Park et al discloses all of the subject matter as applied to claim 1 above. But McMahon does not disclose: (A) N optical amplifiers provided between the input side optical paths of said optical transmission paths, and the common ports of said optical multiplexing/demultiplexing devices connected with said input side optical paths; (B) optical power monitor sections that respectively monitor the total power of the wavelength division multiplexed signal lights output from said optical amplifiers; and (C) control sections that respectively control driving states of said optical amplifiers so that the total power of the wavelength division multiplexed signal lights monitored by said optical power monitor sections becomes constant at a predetermined level common to respective directions.

However, Park et al, in the same field of endeavor, discloses that (A) optical amplifiers provided between the input side optical paths of said optical transmission paths (16 in Figures 2-5), and the common ports of said optical multiplexing/demultiplexing devices connected with said input side optical paths (amplifier 16 is between the input fiber 11a and demultiplexer 18); (B) optical power monitor sections (the optical spectrum analyzer OSA 30 in Figures 2-5, [0036] and [0041]) that respectively monitor the total power of the wavelength division multiplexed signal lights output from said optical amplifiers; and (C) control sections (the OSA also controls the power of the amplifiers, [0036 and [0041]) that respectively control driving

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states of said optical amplifiers so that the total power of the wavelength division multiplexed signal lights monitored by said optical power monitor sections becomes constant at a predetermined level common to respective directions ([0036 and [0041]).

Park et al's controlling system provides optimum signal powers, signal to noise ratios, signal quality (Q), and reduces network operational cost, improves network reach and significantly enhances network agility (ABSTRACT and [0016]). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to apply the amplifier and power controlling system as taught by Park et al to the system of McMahon and Ahmadvand et al so that a high signal quality, low cost connection system can be obtained.

5). With regard to claim 14, McMahon and Ahmadvand et al and Park et al discloses all of the subject matter as applied to claims 1 and 13 above. But McMahon does not disclose wherein optical amplifiers are provided between the output side optical paths of said optical transmission paths, and the common ports of said optical multiplexing/demultiplexing devices connected with said output side optical paths.

However, Park et al, in the same field of endeavor, discloses that wherein optical amplifiers (28 in Figures 2-5) are provided between the output side optical paths (the fiber 11a' in Figure 2-5) of said optical transmission paths, and the common ports (the output port of multiplexer 26 in Figures 2-5) of said optical multiplexing/demultiplexing devices connected with said output side optical paths (amplifier 28 is between the multiplexer 26 and output fiber 11a').

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Park et al's controlling system provides optimum signal powers, signal to noise ratios, signal quality (Q), and reduces network operational cost, improves network reach and significantly enhances network agility (ABSTRACT and [0016]). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to apply the amplifiers and the power controlling system as taught by Park et al to the system of McMahon and Ahmadvand et al so that a high signal quality, low cost connection system can be obtained.

6). With regard to claim 15, McMahon and Ahmadvand et al and Park et al discloses all of the subject matter as applied to claims 1 and 13 above. And McMahon further discloses wherein the multidirectional optical branching apparatus is used for an optical branching node (the transmultiplexer 12 is used as the optical branching node, Figures 2 and 9).

Conclusion

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Terahara et al (US 2002/0196489 and US 6452701) discloses a WDM communication network with a multi-directional branching system (Figures 15, 17 and 18).

Yamamoto et al (US 5,502,589) discloses an optical communication network and optical nodes.

Barnard et al (US 6,219,162) discloses methods for equalizing WDM systems.

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Wu (US 6,731,424) discloses a dynamics gain flattening in an optical communication system.

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Li Liu whose telephone number is (571)270-1084. The examiner can normally be reached on Mon-Fri, 8:00 am - 5:30 pm, alternating Fri off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ken Vanderpuye can be reached on (571)272-3078. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Li Liu September 20, 2007

KENNETH VANDERPUYE SUPERVISORY PATENT EXAMINER